

***Request for Proposal To Obtain Database Cleanup,
Automated Authorities Processing and RDA
Conversion***

A. General Information

[Description of the library, such as:]

The *[name]* library serves a student population of approximately *[number]* and has *[number]* volumes. Over *[number]* bib records are loaded into a *[system name]* system.

The Library has a Technical Service staff of *[number]* professionals and *[number]* paraprofessionals. Approximately *[number]* new bibliographic records are added to the database per year.

B. Work to be Performed

The Library seeks a vendor to provide backfile database cleanup, authority processing, and RDA conversion on the entire bibliographic database, and optionally, a mechanism to keep the file current once the backfile process is completed. This would include ongoing authority control and RDA conversion. Each component must be priced separately.

C. Submission of Proposal

Proposals may be submitted in the following formats: hard copy print and/or email not later than *[time, date, preferably three weeks out]*. Any proposal not received by the listed bid opening date and time will be rejected.

Email address for submission of electronic bids: *[Buyer's email address]*

Street address for submission of printed bids: *[Full street address usable by a courier]*

Any questions requiring clarification of bid submission should be directed to the Buyer *[give name of buyer, phone number, and e-mail]*. Technical questions can be directed to *[name of librarian]* of the Library via e-mail or fax *[supply e-mail address and fax number]*. All bidders will be copied via fax on the questions and the responses. The closing date for inquiries is five working days prior to bid opening.

Any conditions which the bidder wishes to stipulate other than those included in this RFP must be

specifically stated in writing in the bidder's cover letter. If the bidder cannot accept a provision of the RFP, it must also state in the cover letter the RFP number of the unacceptable provision. All requested forms must be completed and submitted with the RFP response. Any supporting literature that the bidder wants to include should be placed in an appendix.

The response should include the numeration of all the specifications put forth in the RFP and should include the original wording.

D. Company History and References

Bidders must supply a brief history of the company and detail their experience with authority control, including personnel responsible for this work. At least three references of comparable size libraries must be submitted. Include current contact names with email addresses and phone numbers. Names of libraries with the same automated system are preferred.

1. One from a similar type of library to ours (e.g., public or academic, number of titles, automated system)
2. One from a longtime customer (six years or more)
3. One from a new customer (started within last six months)

E. Timeline

Bidders should detail the workflow and timeline involved in the completion of this project.

F. Submission of Pricing

Bidders are requested to use the accompanying price form to list pricing. However, as an alternative, the pricing may be supplied as a separate page or pages, clearly labeled "Pricing: Backfile Processing" and "Pricing: Ongoing Authority Control", as part of the vendor's proposal. Line numbers and descriptions must correspond to the numbers and descriptions in the attached form.

All prices should be supplied including initial costs, ongoing costs, report costs, file transfer costs, testing, and shipping. List separately any additional charges to be considered besides the per bib record price.

If additional services, not requested by this proposal, are being recommended by the bidder, they must be listed separately and not be figured into the total.

G. Evaluation and Selection Criteria

The RFP will be evaluated and weighted according to the following criteria:

- Understanding of mandatory project requirements and ability to meet them; feasibility of proposed schedule; proposed project approach and methodology: 40 maximum points.
- Ability to comply with highly desirable project requirements: 10 maximum points.
- References; staff qualifications and experience: 15 maximum points.
- Price of product: 35 maximum points

Mandatory Specifications: Database Cleanup

1. Clean up MARC bibliographic records by updating obsolete MARC content designation to current standards, including correction of incorrect or obsolete MARC tags and subfield codes.
2. Process filing indicator in all standard title fields.
3. Update obsolete MARC content designation to current standards, including conversion of 440 to appropriate 490/830 fields.
4. Remove the initial article and zero the filing in the 240 title field.
5. Remove the initial article and zero the filing indicator in the 830 title field.
6. Remove the word "The" from the beginning of the subfield \$t in the following fields: 600, 610, 611, 630, 700, 710, 711, 730, 800, 810, 811, and 830.
7. Correct errors in spelling, capitalization, punctuation, diacritics, and spacing in access points, wherever such change is indisputable.
8. Correct punctuation, subfielding and sequencing of elements in pre-AACR2 conference names to conform to current practice.
9. Describe how relator subfields are handled.
10. Delete the following MARC tags: *[here the Library lists any unwanted MARC tags]*
11. Include the following custom processing. *[here the Library lists any custom processing required with examples]* Indicate any additional cost.
12. Retag uncontrolled fields (e.g., 690) as defined by the Library so that they may participate in authorities processing.
13. Normalize author, subject, and added title entries by supplying ending punctuation where appropriate.
14. Delete "The" from the beginning of subfield \$t in the following fields: 600, 610, 611, 630, 700, 710, 711, 730, 800, 810, 811, and 830 and capitalize the first letter of the next word.

Example: 700 1_ \$a Thurber, James, \$d 1894-1961. \$t The catbird seat

Should be corrected to:

700 1_ \$a Thurber, James, \$d 1894-1961. \$t Catbird seat.

15. Reorder indirect geographic subdivisions to the city level.

Example: 651 _0 \$a San Antonio (Tex.) \$x Fountains.

Should be corrected to:

650 _0 \$a Fountains \$z Texas \$z San Antonio.

16. Correct improperly formed direct geographic subdivisions to the correct indirect form.

Example 650 _0 \$a Painting \$z Venice

Should be corrected to:

650 _0 \$a Painting \$z Italy \$z Venice.

17. Describe character coding schemes you can process (MARC-8, UTF-8).

18. Add subfield \$0 to bib records for matched terms.

19. The successful vendor must process a test file including all our specifications, completed to the Library's satisfaction before commencing the full project.

H. *Mandatory Requirements: Authorities Processing*

1. Match personal, corporate, conference, uniform title, and series name access points (MARC tags 100, 110, 111, 130, 240, 700, 710, 711, 730, 800, 810, 811, 830, 840) against the most current Library of Congress Name Authority File and flip the access points to the established form.
2. Match Library of Congress subject access points (MARC tag 650, second indicator 0) against the most current LC Subject Authority file and flip the access points to the established form.
3. Match subject access points for personal names, corporate names, meeting names, uniform titles, and geographic names (600, 610, 611, 630, and 651, second indicator 0) against the appropriate LC file and flip the access points to the established form.
4. Discuss the processing of birth and death dates in name access points.
5. Delete or correct obsolete subdivisions.

Example: \$x Addresses, essays, lectures

Should be deleted.

6. Expand commonly used abbreviations in name and subject access points, except when used as qualifiers, or when the abbreviation is in the authorized form of the heading.
7. Supply authority records for all matched access points from the LC and NLM authority files. Supply only authority records from the LCSH, LCNA and MeSH authority files. "Provisional" records are not acceptable. If vendor cannot limit output of authority records to authoritative sources, it must be so stated.
8. Matching LC authority records should be separated by name and subjects. Indicate if there are additional costs to separate the records.
9. Describe how non-Latin character sets in authority records are handled.
10. Process 490 field (series not traced) against LC series authority records.
11. Describe how genre added entries are handled. Provide options for using multiple genre lists (lcfgt, gsafd, etc.).
12. For hierarchical access points, provide authority records for all parts of the access point available.

Example: 600 10 \$a Shakespeare, William, \$d 1564-1616. \$t Hamlet
\$v Bibliography.

Two authority records would be output:

100 1_ \$a Shakespeare, William, \$d 1564-1616. \$t Hamlet

100 1_ \$a Shakespeare, William, \$d 1564-1616.

13. Provide a statistical report of the work performed.
14. Provide a report listing unmatched access points after authorities processing. Indicate any cost, and format of the report.
15. Provide a report listing access points that match two or more authorized access points. Indicate any cost, and format of the report.
16. Describe any additional available reports, including format and cost.
17. All records are to be sent and delivered via FTP.
18. The successful vendor must process a test file including all our specifications, completed to the Library's satisfaction before commencing the full project.
19. Provide a backup CD or DVD of the processed bibliographic and authority records. Indicate any additional cost.
20. Describe the options for payment of invoices, e.g., credit card, check, electronic transfer.

J. *Mandatory Requirements: RDA Conversion*

1. Convert abbreviations to spelled-out form where appropriate.
2. Convert Latin abbreviations to English equivalents in descriptive fields as appropriate.
3. Remove GMD in 245 \$h and convert to 336-338 (Content-Media-Carrier) field equivalents.
4. Supply parallel title in 246 fields if none exists.
5. Move specified terms from end of subfield \$a or \$b to subfield \$c when \$c has "by".
6. Convert publication and/or distribution 260 field to 264 field(s).
7. Spell out abbreviations for physical description and correct spacing issues.
8. Conform authorized access points to RDA compliance.
9. List any optional reports and pricing.

K. *Highly Desirable Requirements*

1. Enrichment.
 - a.) Add Table of Contents data to bibliographic records. Indicate any additional costs, whether one-time or ongoing. Describe any additional enrichment services available.
 - b.) Add Reading Notes (Lexile, Accelerated Reader), to bibliographic records. Indicate any additional costs.
3. Ongoing Authorities Maintenance.
 - a.) Describe how the Library can notify the vendor of authority records deleted from the Library's database so updates do not continue for that heading.
 - b.) Provide the option to receive deleted authority records in a separate file through authority notification service. Indicate cost.
 - c.) Provide a mechanism for ongoing update of newly created bibliographic records. Explain how this service relates to the ongoing update of authority records. Indicate costs and any other options.
 - d.) Indicate any minimum order requirements or pre-determined frequency and schedule for sending newly created bibliographic records for processing.

- e.) Provide a mechanism for providing new authority records available since backfile processing was completed. Indicate cost.
- f.) Describe any cost saving incentives associated with ongoing work.
- g.) Provide reduced pricing for processing of large purchased sets of bib records (e.g., EEBO, ECCO) through ongoing authorities service.
- h.) Provide an extra 4XX with the previously authorized heading if lacking in the LC records, as part of the replacement authority records notification, to facilitate automatic updating.

Example:

New LC authority record: 100 0 \$a Johnson, Lady Bird, \$d 1912-2007

In this example, the new LC authority record contains the corrected entry for Lady Bird Johnson, but does not include a 400 that would link it to the library's old authority record.

Auth. record in your database: 100 0 \$a Johnson, Lady Bird, \$d 1912-

As part of ongoing maintenance, the authority record should contain an extra 4XX.

New LC authority record: 100 0 \$a Johnson, Lady Bird,
\$d 1912-2007

With added 4XX 400 10 \$a Johnson, Lady Bird, \$d 1912-

[The following specifications may be added to the Mandatory Specifications or Highly Desirable Requirements if appropriate for the Library]

1. Match National Library of Medicine subject access points (MARC tag 650, second indicator 2) against the most current MeSH file and flip access points to established form. Provide matching authority records. Indicate if there is an additional cost to process these access points.
2. Examine names and subjects against the Library and Archives Canada (LAC). Describe how this processing works with the use of Library of Congress authority files. Provide matching authority records. Indicate if there is an additional cost to process these access points.
3. Describe how Sears subject access points are handled and indicate any available options. Indicate if there is additional cost to process these access points.
4. Describe how LC Children's access points (MARC tag 650, second indicator 1) are handled. Indicate if there is additional cost to process these name access points.
5. Describe available options for processing of Australian political subdivisions. Indicate any additional cost.

6. Describe available options for processing of TGM (Thesaurus for Graphic Materials) are handled. Indicate if there is additional cost to process these access points.
7. Describe available options for processing of FAST (Faceted Application of Subject Terminology) headings. Indicate if there is additional cost to process these access points.
8. Provide the option for the authorities processing of name access points in formatted Table of Contents notes in MARC tag 970. Indicate any additional cost.
9. Include the name access points in the library's GPO records with authorities processing. Indicate any additional cost.

J. Pricing: Backfile Processing

Vendor Name: _____

| Task | Quantity | Unit Price | Extension |
|--|-----------------|-------------------|------------------|
| 1. Account establishment | | | |
| 2. Test file generation | | | |
| 3. Loading bib records | | | |
| 4. Deletion of unwanted fields and subfields | | | |
| 5. LC Authorities Processing | | | |
| 6. MeSH Authorities Processing | | | |
| 7. 490 Field Processing | | | |
| 8. Genre Heading Processing | | | |
| 9. Matching Authority Records | | | |
| 10. RDA Conversion | | | |
| 11. Addition of Table of Contents | | | |
| 12. Addition of Lexile data | | | |
| 13. Addition of Accelerated Reader data | | | |
| 14. Report of Unmatched Access Points | | | |
| 15. Report of Multiple Authorized Forms | | | |
| 16. Output of Bib Records via FTP | | | |
| 17. Output of Authority Records via FTP | | | |
| Any Additional Costs: | | | |
| TOTAL COST FOR BACKFILE PROCESSING | | | |

K. Pricing: Ongoing Authority Control

Vendor Name: _____

| Task | Quantity | Unit Price | Extension |
|--|-----------------|-------------------|------------------|
| 1. Account establishment | | | |
| 2. RDA Conversion | | | |
| 3. LC Authorities Processing | | | |
| 4. Matching Authority Records | | | |
| 5. Notification of changes to authority records already received | | | |
| 6. Notification of new authority records which have become available | | | |
| 7. MeSH Authorities Processing | | | |
| 8. 490 Field Processing | | | |
| 9. Genre Heading Processing | | | |
| 10. Addition of Table of Contents | | | |
| 11. Addition of Lexile data | | | |
| 12. Addition of Accelerated Reader data | | | |
| 13. Report of Unmatched Headings | | | |
| 14. Report of Multiple Authorized Forms | | | |
| 15. Output of Bib Records via FTP | | | |
| 16. Output of Authority Records via FTP | | | |
| Any Additional Costs: | | | |
| TOTAL COST FOR ONGOING AUTHORITY CONTROL FOR FIRST YEAR | | | |